
LICENSING/INSURANCE

Important California Horse Racing Licensing Requirements and Pertinent Regulations

The following guidelines are designed to help expedite your licensing and registration procedures on arrival in California. For a complete set of California Horse Racing Board Rules and Regulations, contact the CHRB office at (626) 574-6358 or visit www.chrb.ca.gov.

Licenses

Owner and Employee Licensing

All owners, trainers, jockeys, grooms and others acting in any capacity within the stable area, or anywhere at Santa Anita Park, are required to procure the appropriate license or licenses and pay the fees required. All new applicants must be fingerprinted and photographed before licenses can be formally issued. The CHRB 4, Application for License, can be downloaded from the CHRB website (www.chrb.ca.gov/licensing.html) or obtained at any CHRB Licensing Office. Application can be in person, by mail, or by electronic submission. All licenses are issued at the office of the California Horse Racing Board (CHRB), located near the Stable Gate (see stable area map on page 159), at Santa Anita Park. Beginning October 25, the licensing office will be open Wednesday through Sunday, 8:30 am - 4:30 pm daily. During Breeders' Cup week the office will be open Tuesday, October 31 through Friday, November 3, 7:30 am - 4:30 pm. Phone: (626) 574-6358.

Every person who appears on the ownership papers of a horse must be licensed. If the legal owner of a horse is a corporation, the corporation shall appoint a responsible managing employee who may be granted a license as a horse owner. Said managing employee accepts and assumes all responsibilities of an owner.

All general partners are required to be licensed, irrespective of the percentage of partnership held. No limited partner may be granted a license as horse owner.

A. License Fees

All of the following MUST be filed with your application.

I. Regular Fees

Horse Owner	3-yr. license \$150.00
Trainer, Assistant Trainer, Jockey, Jockey Agent	3-yr. license \$150.00
Veterinarian	3-yr. license \$150.00
Farrier	3-yr. license \$ 75.00

LICENSING/INSURANCE

Exercise Riders & Pony Riders	3-yr. license \$ 75.00
Stable Employees (includes grooms, hot walkers, watchmen)	1-yr. license \$ 35.00 (exp. 12/31)
Stable Name	3-yr. license \$300.00
Syndicate or Partnership (11 partners or more)	3-yr. license \$300.00

B. Fingerprint Requirement

Fingerprints are required for all new applicants. Those in California may visit any CHRBR Licensing Office in order to have their electronic fingerprints (Live Scan) taken. Those who are out of state, or otherwise unable to visit a CHRBR Licensing Office, should contact the CHRBR via email at Licensing_HQ@chrbr.ca.gov, or (916) 263-6000, to request fingerprint cards. Additional information can be found on the CHRBR website at www.chrbr.ca.gov/licensing.html. Completed fingerprint cards should be submitted at least 14 days prior to issuance of the license.

C. CHRBR License Photos

All individuals desiring access to the stable area must be licensed by the CHRBR and have a valid CHRBR license with photograph visible on their person at all times. Photographs can be obtained at the CHRBR Licensing Office.

Validation

All licenses must be validated for the meet in the Santa Anita Park office by Stable Gate 8, all licensees need to be submitted to the essential employee list by the trainer. All trainer licenses must be approved and signed by the Racing Secretary, State Veterinarian, and Stewards. All assistant trainer and jockey licenses must be approved and signed by the Stewards.

Insurance

The California Horse Racing Board (CHRBR) requires that a **signed, written** binder of Workers' Compensation or a Certificate of Insurance verifying proper coverage be in its file before a trainer's, owner's and/or stable employee's license can be issued or a horse permitted on the grounds.

Important: This process for Worker's Compensation Insurance takes time to complete. Please begin your application at least three weeks prior to your arrival.

All out-of-state policies must state "covers all racing operations in California." If an international trainer has a policy in America that states this, they are covered.

To be accepted all certificates must have the following racing codes: Racing Operations 8631, Jockeys 8278.

LICENSING/INSURANCE

There will be no exceptions. Non-compliance with the insurance requirement will result in the horse being scratched.

No licenses will be issued without proof of insurance.

The following company may be used to apply for Workers' Compensation Insurance in the State of California:

Allie Rodriguez, Post Time Self Insurance Group
4961 Katella Ave., Los Alamitos, CA 90720
(714) 820-2743, Fax (714) 820-2813
E-mail: allie@flsig.com

Step-by-Step Instructions for those individuals who do not already have a Workers' Compensation Insurance Policy in their state or country that adhere to the rules above.

1. Fill out the Information Request Form and the Prospective Post Time Self Insurance Group Form from Post Time Self Insurance (forms can be downloaded at www.members.breederscup.com/other/downloads.aspx).
2. E-mail completed forms to Allie Rodriguez (allie@flsig.com) or Yelise Romo (yeliser@sigsolutionsca.com) at Post Time Self Insurance Group.
3. The cost of insurance is \$1,000 (US) plus an additional \$500 application fee if it is a new application, and can be paid by credit card or check, make check payable to **Post Time Self Insurance Group**.
4. Send check and application by **courier/express** post to Post Time Self Insurance Group, see address above.

Ownership Disclosure

Licensed owners and licensed trainers shall be held jointly responsible for making full disclosure of the entire ownership of each horse in their care. Every certificate of registration or eligibility certificate filed with the association and its Racing Secretary to establish the eligibility of a horse to be entered for any race shall accurately reflect the correct and true ownership of such horse, and the name of the owner that is printed on the official program for such horse shall conform to the ownership as declared on the certificate of registration or eligibility certificate unless a stable name has been registered for such owner or ownership with the CHRB.

Both seller and purchaser, or their agents or representatives, of a horse that is sold while within the enclosure of a racing association shall immediately notify the Stewards of such sale and transfer, and the Stewards may require a declaration under oath or under penalty of perjury of the facts of such sale or transfer. The CHRB Bill of Sale form must be completed and signed off by a Steward. No trainer transfers are allowed after entry.

1780. Registration of Colors

All racing colors carried in races shall be registered with the Clerk of the Course, located in the Racing Secretary's Office, and authority for the use of such colors must be sanctioned by the CHRB. There is no fee for the registration of colors.

1783. Registration of Stable Names

(a) A licensed owner may register a stable name with the CHRB by filing an application and paying the fee for such stable name. A stable name is subject to the approval of the CHRB. No person may register more than one stable name at the same time. No person may use the real name of any owner of race horses as his stable name. No stable name registration may be used for advertising purposes. A stable name which has already been registered may not be registered by another owner.

1784. Registration to Disclose All Partners

An application to register a stable name shall disclose the real names of all partnership or ownership interests participating in the stable and the percentage of ownership interest of each, including the interest owned by any corporation, limited liability company (Corporations Code section 17000 et seq.), general partnership, limited partnership, trust, estate, person or individual.

1786. Change of Stable Name Registration or Ownership of Stable

- (a) A stable name may be changed at any time by registering a new stable name and paying the fee as set by the CHRB.
- (b) A stable name registration may be abandoned, or an expired stable name registration may be transferred to a new owner by giving written notice to the CHRB and to the Stewards. A stable name registration that has expired and has remained unregistered for at least three consecutive years shall be considered abandoned.
- (c) Any change in ownership, in whole or in part, of a currently registered stable name shall be immediately reported in writing to the CHRB and to the Stewards. If the stable consists of multiple owners, the notification must be signed by at least the designated person responsible for the stable's conduct as listed on the stable name registration form and the person whose name is being removed or added. A signature must be notarized if the person who signs is not present when the notification is presented to the CHRB.

1787. Limitation of Use of Stable Name

No owner may use his real name for racing purposes if he has a registered stable name, except with approval of the Stewards or the CHRB.

LICENSING/INSURANCE

Badges

Owners, trainers, and their employees are to wear identifying badges on their outer clothing at all times while in the stable area. Trainers are to submit a badge list of his/her owners to the CHRB and the Racing Secretary's Office.

Admittance to the stable area is restricted to owners, their guests, trainers, and their employees, racing officials and the working press upon presentation of proper credentials.

No visitors are permitted except with authorized passes issued by the Santa Anita Park Stable Gate Office or Breeders' Cup Limited.