

### Important Kentucky Horse Racing Commission Requirements and Pertinent Regulations

The following guidelines are designed to help expedite your licensing and registration procedures on arrival in Kentucky. This information is not meant to replace or modify the applicable KHRC statutes and regulations, but to serve as a reference. In the event of a discrepancy in the information below and KHRC statutes or regulations, KHRC statutes and regulations govern. A complete set of the statutes and regulations can be found on the KHRC website. <http://khrc.ky.gov>.

#### Licenses

Note: Only individuals can be licensed by the Kentucky Horse Racing Commission. No horse may be entered or raced in the Commonwealth of Kentucky unless the owner and each of the part owners has been granted a current license, except no more than five (5) individuals may be licensed as owners of a single horse as indicated below on page 48 (see joint ownership, 810 KAR 1:007, Section 4). All individuals whose names appear in the race day program must hold a current license.

#### How to Obtain a License

There are five ways to obtain your Kentucky Horse Racing Commission license.

1. Go to the live meet track license office.
2. Go to the KHRC office located at the Kentucky Horse Park.
3. Mail your application along with the fee to the central office.
4. Fax your application along with a credit card number to the central office or live meet track to Fax (859) 202-3510.
5. E-mail your application along with credit card information to [KHRClicensing@ky.gov](mailto:KHRClicensing@ky.gov).

Faxed license applications are accepted at both the central office and the live meet track with a license fee credit card payment option. There is an additional \$4 administrative fee for all credit card payments.

Print one of the licensing applications and complete all fields of the application. Please remember to sign and date your application and to include an e-mail address. An incomplete application will delay your licensure.

KHRC accepts cash, checks, money orders or credit card payments. There is a \$4 administrative fee on all credit card payments and there is a \$20 fee on all returned checks. Credit cards accepted are:

Visa, American Express, MasterCard, Discover

Remember that all stable employee applicants must have their applications signed by the trainer or assistant trainer for whom they work.



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## LICENSING/INSURANCE

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International and/or out-of-state coverage is acceptable. All such coverage must be endorsed and notarized with wording which specifies that coverage is provided within the Commonwealth of Kentucky.

Telegrams or verbal contact will not suffice. Horsemen shipping from out of state are advised to bring such Worker's Compensation documents for filing with the Kentucky Horse Racing Commission.

No trainer's licenses will be issued without required proof of insurance.

### **Ownership Disclosure 810 KAR 1:007 Section 3.**

(1) Licensed owners and licensed trainers shall be held jointly responsible for making a full disclosure of the entire ownership of each horse in their care.

(2) Disclosure under this section shall identify in writing all persons who directly, or indirectly through a lien, lease partnership, corporate stockholding, syndication, or other joint venture, hold any present or reversionary right, title, or interest in and to such horse, and those persons who by virtue of any form of interest may exercise control over or benefit from the racing of the horse. The degree and time of such ownership held by each person shall also be designated.

(3) Disclosure under this section shall be made when registering each horse with the racing secretary upon arrival on association grounds or at time of entry whichever event occurs first and shall be revised immediately upon any subsequent change in the ownership.

(4) Disclosure under this section together with all written agreements, and affidavits setting out oral agreements, pertaining to the ownership of or rights to a horse, shall be attached to the registration certificate for the horse and filed with the racing secretary, who shall be responsible for the care and security of all papers while the horse is located on association grounds.

(5) Disclosure under this section is made for the benefit of the public and all documents pertaining to the ownership or lease of a horse filed with the racing secretary shall be available for public inspection.

(6) The stewards shall review the ownership of each horse entered to race to insure that each registration certificate is properly endorsed by the transferor to the present owner. The stewards may determine the validity for racing purposes of all leases, transfers, and agreements pertaining to ownership of a horse and may call for adequate evidence of ownership at any time. The stewards may declare ineligible to race any horse, the ownership or control of which, is in question.

### **Joint Ownership. 810 KAR 1:007 Section 4.**

(1) No more than five (5) individual persons may be licensed as owners of a single horse.

(2) If more than five (5) individual persons own interests in a single horse, through a partnership, corporation, syndication, or other joint venture, then those individual persons may designate in writing a member of the partnership, corporation, syndicate, or joint venture to represent the entire ownership of and be responsible for the horse as the licensed owner.

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(3) An agreement under this section or lease, shall accompany the application for an owner's license. Each person designated as representing the entire ownership of a horse shall be licensed.

(4) The commission may deny, suspend, or revoke the license of any owner whose ownership of a horse is qualified or limited in part by rights or interests in or to the horse being held or controlled by any other individual person or persons who would be ineligible to be licensed as an owner.

### Badges

Owners, trainers and their employees are to wear identifying badges on their outer clothing at all times while in the stable area. Trainers are to submit a badge list of his/her owners to the KHRC and the Stable Office.

Admittance to the Churchill Downs stable area is restricted to owners, their guests, trainers and their employees, racing officials and the working press upon presentation of proper credentials.

No visitors are permitted in this area except with authorized passes issued by the Churchill Downs Stable Office or Breeders' Cup Limited.

### National Racing Compact

The National Racing Compact was created as an independent, interstate entity, composed of pari-mutuel racing regulators from participating states, which has been empowered to set standards for individual licenses, accept applications and fingerprints, analyze criminal history information and issue a national license, which will be recognized by all member states and other states that may elect to recognize the license. Participants need only to complete one license application and provide one set of fingerprints instead of duplicating the licensing process in each state. Once approved for the national license, a participant then pays only a participation fee in each state in which the national licensee wishes to race. Good in 24 jurisdictions: Arizona, \*Arkansas, California, Delaware, Florida, Kentucky, \*Illinois, \*Indiana, \*Iowa, Louisiana, Maryland, \*Michigan, Nebraska, New Jersey, New York, Ohio, Oklahoma, \*Ontario, \*Pennsylvania, \*Texas, Virginia, Washington, West Virginia and \*Wyoming.

*\* Participates in the program but issues its own license pending adoption of legislation to join the Compact. May have additional requirements.*

Applications are available online and at participating commission offices and racetracks and at selected industry events. They also are available by mail upon request.

Phone: (859) 224-0584 • Fax: (859) 224-0591

E-mail: [nrcsupport@racinglicense.com](mailto:nrcsupport@racinglicense.com) • Internet: [www.nationallicense.com](http://www.nationallicense.com)